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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: NIEBOER et al.
Appl. No.: 09/359,686 Group: 2165
Filed: July 23, 1999 Examiner: THOMPSON JR, F.
For: AUTOMATED SYSTEM FOR CONDITIONAL
ORDER TRANSACTIONS IN SECURITIES OR
OTHER ITEMS IN COMMERCE

AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
JUL 31 2001
Technology Center 2100

July , 2001

Sir:

Responsive to the Office Action dated March 29, 2001, to which a response is due by the extended due date of July 29, 2001, the following amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE CLAIMS

Amend the claims as follows:

1. (twice amended) A conditional order transaction network that matches or compares buy and sell orders for a plurality of security instruments based upon conditions set forth within the order, including price represented as an algorithm with constraints thereon, the transaction network comprising:

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cont

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